

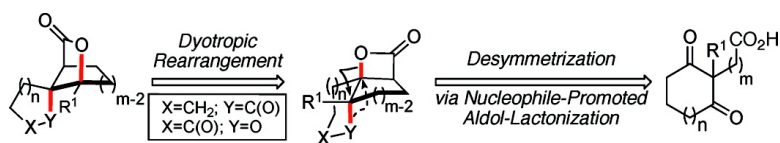
Communication

**Concise Synthesis of Spirocyclic, Bridged #-Butyrolactones  
 via Stereospecific, Dyotropic Rearrangements of  
 #-Lactones Involving 1,2-Acyl and #-Lactone Migrations**

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## Concise Synthesis of Spirocyclic, Bridged $\gamma$ -Butyrolactones via Stereospecific, Dyotropic Rearrangements of $\beta$ -Lactones Involving 1,2-Acyl and $\delta$ -Lactone Migrations

Vikram C. Purohit, Andrea S. Matla, and Daniel Romo\*

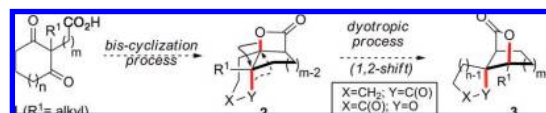
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The simultaneous or stepwise reorganization of functional groups on vicinal carbon atoms via a 1,2-shift constitutes a type I dyotropic rearrangement, a term first proposed by Reetz in 1972.<sup>1</sup> One of the earliest examples of this rearrangement was the mutarotation of vicinal dibromides in steroidal systems first studied by Winstein and Barton.<sup>2</sup> Seminal work by Mulzer employing Lewis acid mediated versions with  $\beta$ -lactone substrates provided insights into the relative migratory aptitude of electron-rich groups in these type I dyotropic rearrangements.<sup>3</sup> A requirement for such a molecular rearrangement is an antiperiplanar relationship between the migrating group and the  $\beta$ -C–O  $\sigma$ -bond of the  $\beta$ -lactone. Subsequent studies by Black<sup>4</sup> and Reetz<sup>5</sup> provided further information on the scope of this process for acyclic systems; however, debate remains regarding the degree of concertedness of the process.<sup>6</sup> We recently reported a biscyclization of ketoacids that provides access to bicyclic and tricyclic  $\beta$ -lactones.<sup>7</sup> Herein, we report the first examples of dyotropic rearrangements involving 1,2-migrations of electron-deficient groups leading to spirocyclic, bridged  $\gamma$ -lactones **3** from fused tricyclic  $\beta$ -lactones **2**, available from diketoacids **1** via biscyclizations (Figure 1). The first asymmetric variant of the latter process is also described.

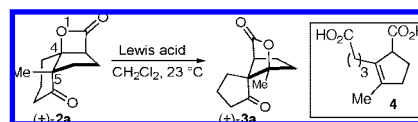
We began our studies with tricyclic  $\beta$ -lactone **2a** (see Table 1),<sup>8</sup> available from biscyclization of a cyclohexanedione precursor by our previously described procedure.<sup>7,9</sup> We surmised that Lewis acid activation of the  $\beta$ -lactone would promote a dyotropic rearrangement via 1,2-acyl migration, leading to simultaneous, stereospecific<sup>10</sup> ring expansion of the  $\beta$ -lactone to a  $\gamma$ -lactone with concomitant ring contraction of the cyclohexanone. The sole diastereomer isolated from the biscyclization,  $\beta$ -lactone **2a**, possesses the required antiperiplanar relationship between the migrating C<sub>4</sub>–O<sub>1</sub> bond of the  $\beta$ -lactone and the C<sub>5</sub>–C=O bond (relative stereochemistry confirmed by X-ray analysis<sup>9</sup>). Use of commonly employed conditions involving MgBr<sub>2</sub>·Et<sub>2</sub>O in Et<sub>2</sub>O gave only trace conversion; however, the use of CH<sub>2</sub>Cl<sub>2</sub> improved conversion to the expected spiro- $\gamma$ -lactone ( $\pm$ )-**3a** after 18 h (Table 1, entry 1). Other Lewis acids (e.g., AlBr<sub>3</sub>, Et<sub>2</sub>AlCl, LaCl<sub>3</sub>, PrCl<sub>3</sub>, YCl<sub>3</sub>) resulted in either complex mixtures or no reaction. Employing Yb(OTf)<sub>3</sub> and In(OTf)<sub>3</sub> suggested the beneficial effects of triflate over halide ligands (entries 2 and 3). In addition, a major improvement in conversion was realized with Zn(II) salts (entries 4 and 5) and 1.1 equiv of Zn(OTf)<sub>2</sub> provided **3a** in 94% yield (entry 6). Substoichiometric amounts of Zn(OTf)<sub>2</sub> were ineffective in promoting catalytic turnover on practical time scales (entry 7). Surprisingly, Mg(OTf)<sub>2</sub> did not promote this process (entry 8). Inspired by recent studies of Fuchs,<sup>6a</sup> subjecting  $\beta$ -lactone **2a** to catalytic TMSOTf provided only ring-opened diacid **4** (73%, entry 9) suggestive of an intervening Grob-type fragmentation.<sup>11</sup>

Additional tricyclic  $\beta$ -lactones **2b–d**, available in varying yields and diastereoselectivities via biscyclization of dione acids **1b–d**,<sup>9</sup> were also studied, and the major diastereomers gave excellent yields of bridged  $\gamma$ -lactones **3b–d** (Table 2, entries 2–4) with high stereospecificity (dr > 19:1).



**Figure 1.** Sequential biscyclization/dyotropic rearrangement route to tricyclic spiro- $\gamma$ -lactones.

**Table 1.** Survey of Lewis Acids for Dyotropic Rearrangement of Tricyclic  $\beta$ -Lactone **2a**



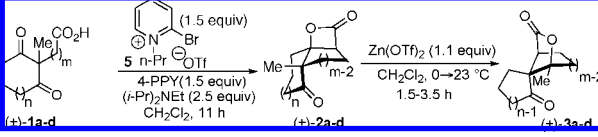
entry	Lewis acid <sup>a</sup>	equiv	T (°C)	time (h)	% yield ( $\pm$ )- <b>3a</b> <sup>b</sup>
1	MgBr <sub>2</sub> ·Et <sub>2</sub> O	1.5	0→23	18	ND <sup>c</sup> (2:1) <sup>d</sup>
2	Yb(OTf) <sub>3</sub>	1.1	23	12	ND (3:2) <sup>d</sup>
3	In(OTf) <sub>3</sub>	1.1	23	12	ND (1:9) <sup>d</sup>
4	ZnCl <sub>2</sub> <sup>e</sup>	1.1	23	1.5	87
5	ZnBr <sub>2</sub>	1.1	23	1.5	90
6	Zn(OTf) <sub>2</sub>	1.1	0→23	1.5	94
7	Zn(OTf) <sub>2</sub>	0.5	0→23	12	ND (1:1) <sup>d</sup>
8	Mg(OTf) <sub>2</sub>	1.1	23	12	NR <sup>f</sup>
9	TMSOTf	0.2	23	12	(73) <sup>g</sup>

<sup>a</sup> All reactions were conducted at 0.05 M. <sup>b</sup> Refers to isolated yields. <sup>c</sup> ND = not determined. <sup>d</sup> Ratios in parentheses are for **3a/2a** as determined by <sup>1</sup>H NMR (500 MHz) analysis of crude reaction mixtures. <sup>e</sup> 1.0 M solution in CH<sub>2</sub>Cl<sub>2</sub>. <sup>f</sup> NR = no reaction. <sup>g</sup> Yield for diacid **4** accompanied by trace amounts of **3a**.

A plausible synchronous mechanism invokes a two-electron three-centered acylium intermediate **6**,<sup>3</sup> reminiscent of transition states proposed for Friedel–Crafts acylation,<sup>12</sup> or a carboxylate-stabilized, four-membered transition state **7**, both benefiting from a homoconjugated, carbonyl-assisted migration (frangomeric effect) (Figure 2).<sup>13</sup> To the best of our knowledge, 1,2-acyl migrations are unprecedented in type I dyotropic processes. Formation of diacid **4** with TMSOTf (Table 1, entry 9) points to a mechanistic extreme (i.e. **9**) involving a stepwise dyotropic rearrangement leading to Grob-type fragmentation<sup>11</sup> that currently cannot be excluded as a pathway to **3a** when employing Zn(II) Lewis acids.<sup>14</sup>

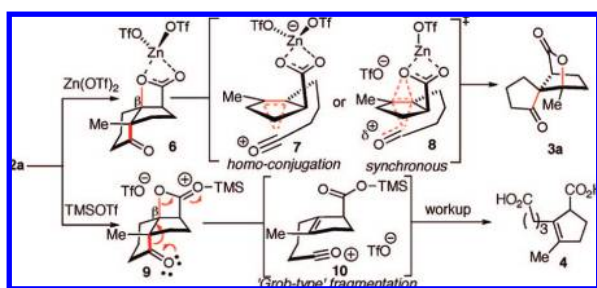
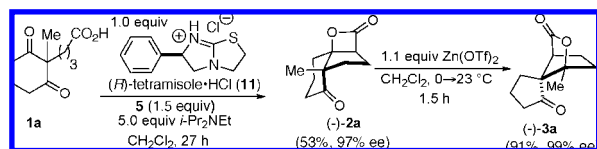
Toward optically active tricyclic  $\gamma$ -lactones, we prepared  $\beta$ -lactone (–)-**2a** (53%, 97% ee, chiral GC<sup>9</sup>) via nucleophile-promoted desymmetrization of diketone **1a** with stoichiometric tetramisole hydrochloride (Scheme 1), recently employed by Birman for enantioselective acylations.<sup>15</sup> This provides the first direct evidence for nucleophile involvement in the stereochemical setting step of biscyclizations with ketoacid substrates.<sup>7,16</sup> Dyotropic rearrangement then gave  $\gamma$ -lactone (–)-**3a** in excellent yield with high stereochemical fidelity (99% ee, chiral GC).

Finally, we envisioned a 1,2- $\delta$ -lactone dyotropic rearrangement with application toward natural product synthesis (Scheme 2). Despite the

**Table 2.** Additional Examples of Dyotropic 1,2 Acyl Migration


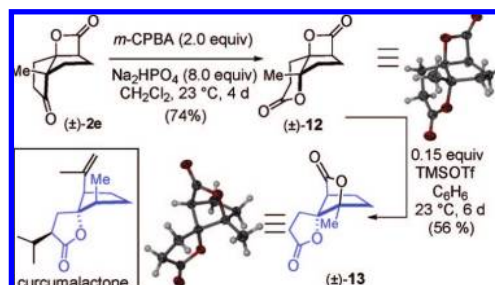
entry	$\beta$ -lactone <b>2</b> <sup>a</sup>	%yield <b>2</b> <sup>b</sup> (dr) <sup>c</sup>	$\gamma$ -lactone <b>3</b> <sup>d</sup>	% yield <b>3</b> <sup>e</sup> (dr) <sup>c</sup>
1		93 (>19:1)		94 (>19:1)
2		38 (>19:1)		90 <sup>d</sup> (>19:1)
3		91 (2.5:1)		85 <sup>e</sup> (>19:1)
4		44 (3.7:1)		63 <sup>e</sup> (>19:1)

<sup>a</sup> Relative stereochemistry of **2a**, **2b**, **2c**, **2c'**, and **3c** was verified by X-ray analysis (ref 9). <sup>b</sup> Refers to isolated yields. <sup>c</sup> Ratios determined by <sup>1</sup>H NMR (500 MHz) of crude reaction mixtures. <sup>d</sup> Accompanied by 3% elimination byproduct (see ref 17). <sup>e</sup> Using major diastereomers **2c** and **2d** as substrates.

**Figure 2.** Proposed mechanistic pathways for dyotropic 1,2-acyl shift and Grob-type fragmentation of  $\beta$ -lactone **2a**.**Scheme 1.** Enantioselective Biscyclization Process with Diketooacid **1a** and Subsequent Dyotropic Rearrangement

potential reactivity of the  $\beta$ -lactone nucleus, we attempted a Baeyer–Villiger oxidation of  $\beta$ -lactone **2e** using buffered conditions.<sup>6a</sup> Although requiring long reaction times, oxidation proceeded smoothly to give the desired bislactone **12** in 74% yield (X-ray analysis, inset Scheme 2). While conditions described above gave only recovered **12**, a brief survey of Lewis acids led to use of substoichiometric TMSOTf, which slowly produced the desired spiro- $\gamma$ -lactone **13** constituting the core of curcumalactone (X-ray analysis, inset Scheme 2).

In summary, the repertoire of dyotropic rearrangements with  $\beta$ -lactone substrates has been expanded to include stereospecific 1,2-shifts of both acyl and  $\delta$ -lactone groups. This process, in conjunction with the enantioselective biscyclization of ketoacids, enables rapid

**Scheme 2.** Synthesis and Dyotropic Rearrangement of Bislactone **12** (X-ray Structures of **12** and **13** Are Shown) Providing Spiro[5.5]lactone **13**

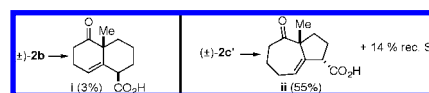
construction of molecular complexity from simple ketoacid substrates in the form of novel spirocyclic, bridged keto- $\gamma$ -lactones bearing a quaternary carbon adjacent to a tertiary alcohol center.

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**Supporting Information Available:** Experimental procedures and characterization data for tricyclic  $\beta$ -lactones **2a–d**, spiro- $\gamma$ -lactones **3a–d**, bislactones **12**, **13**, including <sup>1</sup>H and <sup>13</sup>C NMR spectra, chiral GC traces of (–)-**2a** and (–)-**3a**, and single-crystal X-ray structures. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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